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Section #3
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

Date:

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Name:

Melissa Scanzillo

Signature:

Melissa Scanzillo
Clifford Chance Rogers & Wells LLP

Docket No. 6311-065

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: McQuain

Filed: September 20, 2001

Group Art Unit: 2164

Serial No: 09/961,026

Examiner: to be determined

For: SECURITIES PRICING SYSTEM

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Commissioner for Patents
BOX: MISSING PARTS
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of NonProvisional Application (copy enclosed) dated October 25, 2001 received for the above identified application, enclosed please find the following:

1. ☒ Executed Declaration and Power of Attorney
☐ Copy of Executed Declaration and Power of attorney from a prior application
2. ☒ A petition for Extension of Time

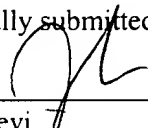
Please make the Declaration and Power of Attorney of record in the above-identified application.

The Commissioner is hereby authorized to charge the late submission surcharge of \$130.00 and any additional fee, or credit any overpayment to Deposit Account No. 50-0521. A duplicate copy of this transmittal is enclosed.

Date:

1/31/02

Respectfully submitted,



Joseph Levi
Reg. No. 41,152

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/961,026	09/20/2001	Barry McQuain	6311-065

CONFIRMATION NO. 4659

Clifford Chance Rogers & Wells LLP
200 Park Avenue
New York, NY 10166-0153

FORMALITIES LETTER



Date Mailed: 10/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

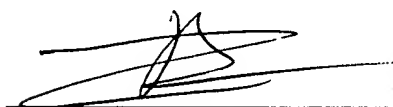
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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